for our coordination process.

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MR. LYNCH: But there was an impetus for doing that. Right? Okay. But in the other case, there was no impetus. In fact, there was in various international organizations at the time the U.S. government was opposing the use of that band for that purpose too.

MR. FRANCA: think. Ι Lauren, if might just comment on that, because I think that And I think these are issues that while happens. the equipment is being developed for a foreign market, there also was petitions to use that transfer some of the spectrum spectrum, or domestically. And I think you -- you know, in those cases, I think we can understand what the government side might be concerned about, where an experiment might lead, and be more cautious about approving that.

I will say that in general, you know, I mean we have very good relationships with NTIA. They understand the experimental program doesn't promise anything, and generally, I think we're able in most instances, unless there are some real interference concerns or other issues to work things out. Although, it does in some instances

take a little bit of time.

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DR. LUCKY: Bruce, could I ask you or Lauren, you know, I don't know very much about experimental licenses. I've gotten them at Bell Labs in the past, and used them and so forth, but who actually has authority in these cases? I mean, does it really -- who really makes the decision? You say you coordinate with NTIA, but sometimes it goes to IRAC and, you know.

MR. FRANCA: Right. I mean, we issue the license, and the application comes to us, but we -- if it's an exclusive government band, we coordinate that, just like we would if, for example, somebody wanted to use the broadcast band and there was an interference issue. We may make a determination that that experiment doesn't make sense in that particular geography, and we rely on the government's eye to kind of make those same determinations.

DR. LUCKY: I'm not sure I understand the word "coordinate." I mean, if NTIA says no, I mean, the answer is no?

MR. FRANCA: Generally, the answer is no in their spectrum, or we might ask them why. You know, and offer some advice to the licensee

about going another place.

MR. HILLIARD: You know, that exchange
that we just heard prompts the thought that in this
process, particularly for the non-routine
applications, we really need to build in dialogue,
because in many cases, I think things can be worked
out. But so often times, experimental licensing
has sort of been in the background, and sometimes
deemed not to be very important by management, when
in fact, it's the seed bed from which a lot of
things flow. And resources haven't been put upon
it to get people into Washington to have the
discussions with the right folks at NTIA, and if
necessary, even in other government agencies. So
the model, if you wanted to construct one,
currently is pretty good. It works very well for
routine things. They've done an excellent job
there, but for things that are not routine, and you
can expect non-routine sort of situations right
here. There needs to be a lot of dialogue, and it
may mean that Bruce ends up spending more time than
he wishes talking to Washington folks and others
about experimental licenses.

MS. VAN WAZER: I just want to remind the speakers to speak into the mike. I guess some

folks at the back of the room are having trouble hearing us. David, you mentioned something about wanting to build on the dialogue. What specific ideas do you have with regard to that?

Well, I think that one MR. HILLIARD: of the first things you need to think about before you apply for an experimental license is what interest might this affect? And if it's something that could be controversial, that calls for some discussions first at the FCC, to find out, you know, where the stakeholders might be. And then once you learn who those players are, go to them and talk with them. Especially when we're having a situation involving operation in spectrum that requires coordination with the government. And, you know, if you hit a brick wall right there, well that says something about the process and its need But my experience has been that if you for reform. keep going at it, you can usually find somebody who will talk with you about those sorts of problems.

The difficulty is that sometimes these authorizations are actually needed fairly quickly.

And when you get into that situation, then things become a little big rugged.

MR. LYNCH: And just, you know, going

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back to comments about, I think I heard a veiled reference to some discussions on three and a half gigahertz we have over the last several years. I, from a purely experimental point of view, if it's sensitive because somebody thinks it's going to go towards a possible allocation, I could see an agreement in the very beginning, I mean, if we had some sort of process check sheet, if you would, that this is not an application for an experimental -- for export technology and not for the purposes of doing a reallocation, and having it clearly understood at the time that the request is even made, it may help reduce the tension for some people.

MS. VAN WAZER: Well, many of the comments have been about the process. I guess I want to step back a bit and say if our goal is to promote innovation through the use of experimental licenses, how could we do better substantively?

MR. SOLOMON: I think one way the Commission really needs to get out to the public and talk about experimental programs, and encourage people to do that. You have almost two groups of people. You have one that are sort of a vested industry interest that have a lot of money to

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spend. Well, these days they don't have a lot of money to spend, but let me just say that they understand the process. They know how to work, at least a little bit through the FCC. They understand the process.

On the other hand, you have some very, I think, brilliant people out there who just don't understand the FCC, don't know about the FCC, are frightened to death about the FCC's processes, and just don't know what to do. And while I don't have any great ideas today, I think the FCC really does have to make an effort to get out there to the public, to call for innovation, to try to about doing experimentation people excited And I think these days it's particularly radio. important because a lot of the venture capital certainly dried The has up. telecommunications market isn't doing exceedingly well, and there has to be some incentive to do experimentation.

DR. LUCKY: You know, I -- this morning we focused on how to get new technology and, you know, there are a lot of things, cognitive radio, software-defined radio and so forth, and how we can fit them into the mainstream, how we can slide them

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1	into it. And to the degree that experimental
2	licenses can be used for that, I would be very
3	interested. And I know, Paul, you had some
4	experience with ultra wideband. Now that's a
5	specific example of a dramatic new technology that
6	interferes with current technologies. How do you
7	ever get going with something like that? What was
8	your experience?
9	MR. ROOSA: The hardest part we had
10	with that is understanding what the technology
11	could do in the way of wave forms and technical
12	characteristics, and what affect the signals would
13	have on existing operators. We went into a
14	measurement program and measured a number of
15	different ultra wideband devices.
16	DR. LUCKY: Now the "we" here is the
17	NTIA. Right?
18	MR. ROOSA: Indeed. I'm sorry. NTIA,
19	and with our facilities out in Boulder did
20	that, the measurement effort. Still felt pretty
21	comfortable we understood what the spectrums looked
22	like, and how the energy that came out of the ultra
23	wideband device would affect conventional
24	receivers.

At that point, one has to make some

1	kinds of assumptions about what the transmitters
2	and the receivers may do, and where they may be
3	located relative to each other, and how to control
4	that. And I'd hesitate to say we're any further
5	than about halfway through the processes figuring
6	out what to do about ultra wideband devices.
7	DR. LUCKY: So it's neither here nor
8	there.
9	MR. ROOSA: I'm sorry. I don't
10	understand.
11	DR. LUCKY: Well, I mean, the problem
12	is how you get going on these things. I think the
13	FCC actually has acted fairly wisely in permitting
14	some experimental use of this, and liberalizing
15	what can be done, without going the full step
16	forward, and just freeing it out. But right now
17	it's sort of in a halfway house. Certain uses are
18	allowed, certain others are not.
19	MR. ROOSA: Yes, that's true. The
20	difficulties are, of course, that you don't know
21	where across the spectrum from about 100 megahertz
22	to many three or four gigahertz these systems
23	might be used. And it's very difficult to
24	determine how to operate compatibly with the folks

and the environment.

25

It's certainly a technology

that the government is excited about, and is probably is as big a user as anybody else, if not bigger, of different forms of ultra wideband technology. So it's not that we're opposed to it, it's that we want to be sure we know how we're dealing with it.

I think that brings up some of the issues that you've been talking about, perhaps this morning, about the rights that come along with an assignment. How do you ensure that a person who has an assignment can exercise his rights, that's the proper word. And whether they are, indeed, rights. Maybe they're just a temporary use should be subject spectrum that to οf the withdrawal under many circumstances. prepared to decide how the circumstances could be organized though.

MS. VAN WAZER: Does anyone else have comments on how we can better promote innovation through possible changes in the rules, or provide incentives for innovation?

MR. HOARTY: The Dotcast technology is, of course, different from the problems with military but it's a similar situation. We've developed a high speed data sub-carrier that we add

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to television broadcasts, and this has been around
before. As many know in the 1990s, the software-
defined radio, been able to do it at very high
speeds. But because the television band,
apparently a lot of people watch television and the
broadcasters care about that, and it makes it
tricky to define what is interference. And, of
course, that's the topic of, I believe, Monday's
panel, and I certainly don't want to segue into
that, but that goes hand-in-hand with the
experimental license, is experimental license
issues. And that is what is important to it's
important to define what is host impairment, what
is impairment to the adjacent. And although there
are rules that very clearly articulate that, many
of them are crafted during the period of the $6^{ ext{th}}$
report and order back in the 50s. And it's just a
little bit difficult when you're testing in an
area so crowded and near and dear to the broadcast
community.

Many of the problems, we've sought and received two experimental licenses. One in Scottsdale, Arizona, and we had that for a little over a year, and with a kind extension -- at the Commission at the time, one year was the period,

and that was tough. Then we moved -- we opened a research facility in Seattle needed and experimental there, which we still have, on channel 61. And again, you addressed many, as you opened in. Lauren. the issue of moving to five years blanket license. That helps a lot in just being able to get through the research and development. And it is -- timing is critical in the time span, so I think those issues that we originally had are gone as far as the duration and where.

Tobelieve there's the ability to have more than one license now, or more than one frequency is part of the blanket license, so I'd go back to saying that perhaps this should be reserved for Monday's panel, but what defines interference? It's so crowded out there, you can almost do nothing, as they were just mentioning with the ultra wideband, as to what can you do, and how do you operate in this incredibly crowded RF spectrum?

DR. LUCKY: Well, some of us aren't going to be here Monday, so if you could -- you know, I think you could say something about the issue of interference. It's pretty critical here.

I mean, that's what's really being used to decide

this.

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MR. HOARTY: Exactly. I think that's exactly --

DR. LUCKY: You know, is are you going to interfere with somebody? And so the question is how does one make that determination?

MR. HOARTY: And this is -- again, just looking at the notes for Monday's meeting, the issue is, if you lower the link budget of somebody else by a decibel, but the receiver doesn't notice it yet, is that important? How do you tell? It's a hard problem.

With television, it's somewhat If the consumer gets a lousy straightforward. picture, obviously, you can't be messing around But then there's anywhere around that frequency. the issue with DTV where we're seeing analog, NTSC channels by putting up a fair amount of energy in the upper adjacent and causing threshold effects that weren't anticipated. Adding our data carrier Matter of fact, I'm to NTSC has been a question. here regularly meeting on that issue of exactly what does that cause, by adding yet a different configuration to NTSC while we're trying to bring up the DTV stations. So I don't know how to answer the question, but it certainly needs to be clearly

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DR. LUCKY: David.

MR. REED: Since the big elephant in the room maybe hasn't been fully addressed because nobody who is involved in the UWB stuff seems to be able to talk about it other than obliquely, let me ask the following question, which I think I understand.

In the UWB proceeding, it was alluded out at the conference in Boulder where some of the technical results were presented, that in fact, the biggest problem in that proceeding, which among other things put at least one start-up out of business, the one that I was involved in the early days before it was founded. What apparently happened was that the -- certain individuals on the IRAC took positions that they were unwilling to disclose the basis for in public.

It seems to me that without transparency, and whether the government owning so much of the spectrum, we're going to continue to have that problem, and it's going to hurt -- you know, it's going to basically mean that anybody who either competes with the government, or might have a better use for the spectrum than the government,

1	or might even be developing technology that would
2	ultimately benefit the government, has an extremely
3	high burden to bear of many years of delay, if
4	nothing else, while they try to work through a non-
5	transparent system.
6	So I guess I'm curious why, you know,
7	nobody's referring to this as, you know, publicly
8	and, you know, anybody who's not, you know does
9	not work for NTIA or the FCC might want to comment
10	on that, if no one else is willing to.
11	MS. VAN WAZER: I had a comment on
12	that.
13	DR. LUCKY: Well, let me ask, though,
14	the people who do work for the FCC and NTIA, do all
15	the applications go to the IRAC?
16	MR. ROOSA: For what variety of
17	devices? I mean
18	MR. FRANCA: If I might. I mean, it's
19	only those devices, or only those experiments that
20	would basically be operated in government spectrum
21	or shared spectrum.
22	DR. LUCKY: So for example, in ultra
23	wideband, since it cuts across everything, it
24	automatically goes there.
25	MR. FRANCA: It automatically goes

1	there.
2	DR. LUCKY: And they have veto power?
3	You keep dodging this issue. I mean, do they or do
4	they not? You keep talking about coordination, and
5	stuff like that.
6	MR. FRANCA: Well, we theoretically
7	you know, I think that's somewhat of an open
8	debate. I think, you know, it's
9	DR. LUCKY: I'm glad to hear you say
10	that.
11	MR. FRANCA: It's an application that
12	comes to the FCC. The FCC can basically grant it,
13	and the Commission could have, for example, adopted
14	rules. I know, I've been here a fairly long time,
15	and I can certainly cite instances where the
16	Commission basically said thank you very much for
17	your advice to NTIA, and did just the opposite of
18	what NTIA recommended.
19	DR. LUCKY: But we're talking about the
20	IRAC. I mean, do they do the same thing that David
21	Reed was alluding to? Do they tell the FCC no,
22	don't do this, but we're not going to tell you why?
23	MR. ROOSA: The IRAC is our advisors,
24	not the advisor to the Commission, so the IRAC

whatever their wisdom tells us is the

provides

appropriate advice to us, and we either, at NTIA, accept it and relay it to the Commission, or change it.

It has occurred, from time to time, and ultra wideband is one of the times where the federal agencies were concerned enough about the issues that they made some direct discussions with the folks at the Commission. And I have a little problem with the business about the untransparency of the IRAC positions. I believe they were very transparently stated in the record, so I'm not really sure what you're talking about.

MS. VAN WAZER: Since we've got lots of engineers in the room, and I think everybody is familiar with statistics, I'm going to throw a few statistics out, which actually might provide some insight on really --

MR. REED: Actually, I was holding onto the mike only for the reason of asking one more question which related to your thing, which is that it's my understanding that the IRAC also played a very significant role in effecting the original Part 15 change that enabled spread spectrum. And that clearly was not an interference with a military use or government use. I'm curious why

1	that was.
2	MR. FRANCA: Actually, there was
3	they do operate in some shared bands.
4	MR. HILLIARD: 902 to 928 is a shared
5	band.
6	MR. FRANCA: It's a shared band.
7	MS. VAN WAZER: Since we've had some
8	reference to the IRAC process, and the NTIA
9	coordination process with FCC, I'd like to throw
10	out these statistics so you get a sense of really
11	the issue.
12	Last year, there were approximately
13	90,000 authorizations, and there were 50
14	Commission-level items that were coordinated. And
15	we've only heard about a handful, so it really
16	isn't if you look at those statistics, it's not
17	as much of an issue. I mean, basically, the issues
18	are tough, and the ones you hear about are the ones
19	that are the nature of the beast. They're
20	difficult, but we have a lot of items that sail
21	through and have a good process.
22	MR. BUCHWALD: I could add to that,
23	that I've gone through four experimental licenses
24	in the last 24 months, and one of them involved

development of a product with our semiconductor

group, that needed to be tested in a 1452 to 1492 band. And as compared to two other development projects we had at 790 to 806 and 3.65 to 3.7 gigs, which sailed through the Commission quickly, one of them required us to simply state that we would be developing this for external sales, offshore sales, and the other required that we coordinate with the Society of Broadcast Engineers. They sailed through very quickly.

The 1452 to 1492, though, we did hit some pretty good stumbling blocks, even though 300 location, the Canadians were miles from our transmitting away in that band for URICO 147. ultimately did get through that, but I think a lot of times you don't hear about the problems, because we don't want to, you know, sort of bring those issues up, you know, for future licensing. Wе don't want to ruffle the feathers, I guess, as it may.

DR. LUCKY: We had three people in the back that wanted to talk. Is there a microphone?

MR. KOBB: Thanks. Ben Kobb, a consultant. I have a couple of recommendations for the experimental licensing process, having spent quite a bit of days recently writing a how-to use

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the experimental licensing system for mere mortals, so when my clients start to use the system will see how well I did.

surprised find in Τ was to out discussions with the experimental licensing staff that, apparently, there is a policy, or there is a policy, that they cannot make be recommendations on amendments to the application. For example, if the applicant proposed a frequency or a set of frequencies, and these frequencies could not be granted, for whatever reason, and yet, perhaps some adjacent frequency or some other minor amendment might be possible to enable the grant, the staff could not recommend that. They couldn't frequency that would alternative specify an accomplish the objective because, I was told, that would be competing with the private sector, and that the private sector has consultants who makes these kinds of recommendations.

Well, I'm in the private sector. My client is in the private sector, and I don't see any reason why, if there was some relatively minor switch of a frequency or some kind of minor amendment, why it couldn't be recommended.

The other thing is, I'd be curious if,

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over the years in the experimental radio service, if allocations to that service had ever come up? think it would be a marvelous idea. I have to explain to my clients that of all the services, the experimental service has no frequency allocations. You have to pick the frequency, and you better be right, because the staff won't They'll just decline correct you if you're wrong. But even one megahertz somewhere in Nothing else has to spectrum could be useful. change the temporary nature of the license, but this could ease a lot of the process.

The clients I've been working with might well be able to use an allocation somewhere that isn't being used right now, wherever it might be in the spectrum, so it's something to consider.

DR. LUCKY: Okay. Dewayne, you wanted to say something too. Pass the mike over there.

MR. HENDRICKS: Dewayne Hendricks, Dandin Group. A few comments. First, I want to -- Part 5 is great. I mean, it's great that this country has it. It's done a lot of good, so I wanted to state that first, and that there's a lot of countries that don't have it. Like Japan, for instance, and they suffer for not having it, in my

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Next comment. I was involved in STA involving spread spectrum back in 1993. This was for the amateur radio service, Part 97. And we wanted the authorization to do anything we wanted with spread spectrum from 50 megahertz up to light in terms of all the existing amateur allocations, so we weren't asking for any new allocations. were just saying we wanted to use spread spectrum ways within the existing amateur creative allocations.

The application went to the Commission and they took it to the IRAC. Okay? It took a year to go through the IRAC and come back approved. Now we got a one year STA, and so we went through It goes to IRAC, one this process three times. It was very frustrating, year, comes back. again, so there's been a number of comments about And I would just add from my experience, is that there is this black hole. Okay? it goes in there, you don't know what's going to And that really hurts this what. happen or process, the uncertainty.

And I would urge the Commission to work out some way to deal with this. And I understand

it's only a few exceptional applications but look, we're -- from the panel this morning, we're moving into the area where we're getting a lot of new technologies coming down the pike. Okay? And the experimental process, Part 5 is the first step on the road to getting a product to market, so you've got to do whatever you can to make the process faster, and a lot less uncertain. Okay?

My final comment has to do with, the one thing you can't do with an experimental license is sell your stuff, sell your product. And that you can't test the product in a real market. think this is a deficiency which has caused my company to go to other countries to -- where there's an ability to do what you can do under experimental licenses, use a lot of the spectrum, but also have a market to test the product in, and sell it, and see whether or not the thing is going to work or not, you know, or survive. So that's one thing that's missing. And, in fact, working with the Japanese Ministry of Economy, Trade & Industry, to look at this notion for, you know -- because they don't have an experimental license, but they're thinking about taking the island of Okinawa and turning it into what they're

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1	calling "Otokua", a radio haven, where they would
2	have an experimental license capability, but with
3	the addition of having a market so you could sell
4	anything into that market and see whether or not it
5	flew or not. Those are my comments.
6	DR. LUCKY: The license still limited in
7	time though? I mean, you sell a product that would
8	expire after a year?
9	MR. HENDRICKS: Or maybe three years, but
10	some fixed period of time.
11	DR. LUCKY: I just picture this radio
12	that's got a label that says expires after a year.
13	I mean, does this really test the market?
14	MR. HENDRICKS: Well, where I come from
15	product lives are like 18 months these days, so
16	that's not
17	DR. LUCKY: Yeah, but there's no label
18	that says that. We just sort of know it.
19	MR. HENDRICKS: That's right.
20	MR. HOARTY: I think an example of where
21	that would apply, I was thinking about that very
22	issue, that you can't sell something that expires
23	per se, but in our case, we're testing on an
24	experimental frequency in a television band. Our
25	product is designed to grab any frequency that has

our data carrier embedded in it, so we actually could test on our own experimental station in a market condition, because the device would continue to function.

Now you get down to timing and the issue of how impatient the investors are, and which is -it goes hand-in-hand with that ability to test in a commercial manner. In other words, you have to be pretty sure of your timing, that you're going to going to have have a product oryou're authorization, or with extending the experimental. But there are instances where I could see where you could test, and it would be really beneficial to know how, if the -- you know, the dogs ate the dog meat, as they say, before you take the thing to market.

MS. VAN WAZER: Bruce, would you like to

MR. FRANCA: Yeah, let me -- I'd like to just respond to at least -- actually, to both Ben, on certainly -- well, we One, and to Dewayne. don't do engineering work for folks. We certainly, when people come in here, will talk to them, and certainly offer advice, you know, when it's we're appropriate. And certainly, more than

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willing to do that. We've done that on a number of occasions where, you know -- and there are coordination problems that go beyond just the government. I know we've certainly solved some of those.

With regard to the market test, the rules do allow, under Part 5 do allow limited market test. We do care very much about protecting the consumer at the end of the day, and so there's generally restrictions on ensuring that whoever has the license retain ownership of all the equipment, you know. But you charge and we've had, you know, market tests going on for several years, you know, so that people can decide whether a service, what data rates are appropriate, what pricing should be done, so we do allow that under the rules right now.

MR. HILLIARD: The rules actually have the flexibility to allow the Commission to permit the sale. I haven't seen that happen, and I can understand that there would be some significant concerns about allowing that to happen. But I could also imagine that it's possible to posit circumstances where those concerns could be answered.